# A Word Vector and Matrix Factorization Based Method for Opinion Lexicon Extraction

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# ABSTRACT

Automatic opinion lexicon extraction has attracted lots of attention and many methods have thus been proposed. However, most existing methods depend on dictionaries (e.g., WordNet), which confines their applicability. For instance, the dictionary based methods are unable to find domain dependent opinion words, because the entries in a dictionary are usually domain-independent. There also exist corpus-based methods that directly extract opinion lexicons from reviews. However, they heavily rely on sentiment seed words that have limited sentiment information and the context information has not been fully considered. To overcome these problems, this paper presents a word vector and matrix factorization based method for automatically extracting opinion lexicons from reviews of different domains and further identifying the sentiment polarities of the words. Experiments on real datasets demonstrate that the proposed method is effective and performs better than the stateof-the-art methods.

## **Categories and Subject Descriptors**

I.2.7 [Artificial Intelligence]: Natural Language Processing

## Keywords

Opinion Word; Matrix Factorization; Word Vector

## 1. INTRODUCTION

Opinion lexicon is a crucial resource for sentiment analysis. Although there are several opinion lexicons publicly available, it is hard to maintain a universal opinion lexicon to cover all domains, as sentiment polarities of words may vary significantly from do-

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main to domain. For example, the opinion word, *unpredictable*, is likely to be positive in a movie review but negative in a car review. Therefore, it is attractive to automatically identify the sentiment polarities of opinion words for different domains.

Many existing studies on opinion lexicon extraction heavily rely on broad-coverage dictionaries (e.g., WordNet). However, dictionary based methods fail to deal with the domain dependency problem, because the entries in a dictionary are often domain-independent. Recently, corpus-based graph models for automatic opinion lexicon extraction have emerged and prevailed, where the polarities of opinion words are inferred by the sentiment labels of seed words. However, these methods are very sensitive to seed words and improper seed words may lead to poor performance [5]. Yu et al. [5] proposed a method that utilizes the sentiment labels of documents instead of seed words. However, this method ignores the semantic association between words in the documents.

In [2], Liang et al. developed a model, CONR, that takes both the sentiment labels of documents and the semantic relationships between words into accoun. Inspired by CONR, we develop a Word Vector and Matrix Factorization (WVMF) based method that improves CONR from two aspects: First, CONR captures the semantic relationship between opinion words through pointwise mutual information, which suffers from the sparsity problem. To overcome this problem, WVMF employs pre-trained word vectors for similarity measurement; Second, WVMF adds more features including inverse document frequency to calculate the sentiment contribution of a given word.

# 2. THE PROPOSED METHOD

Let  $D = \{d_1, d_2, ..., d_m\}$  denote a set of m documents, and  $L = \{l_i\}_{i=1}^m$  denote the corresponding sentiment labels, where  $l_i = +1$  if the corresponding document  $d_i$  is positive; Otherwise,  $l_i = -1$ . Let  $W = \{w_1, w_2, ..., w_n\}$  denote the vocabulary. We can then define an  $m \times n$  matrix R to indicate the relationships between documents and words:  $r_{ij} = 1$ , if  $w_j \in d_i$ ; Otherwise,  $r_{ij} = 0$ . C is defined as an  $m \times n$  sentiment contribution matrix, where  $c_{ij}$  denotes the sentiment contribution of  $w_j$  to  $d_i$ . We define S as a  $n \times n$  influence matrix, where  $s_{ij}$  characterizes the semantic similarity between  $w_i$  and  $w_j$ .

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#### Figure 1: The accuracy of different methods in extracting opinion words.

Since it is noticed that words with high frequencies are more important and words only occur in positive or negative documents are more informative, we can define  $c_{ij}$  as

$$c_{ij} = TF^{i}(w_j) \cdot IDF(w_j) \cdot \left(\frac{F^{(pos)}(w_j)}{F^{(neg)}(w_j)}\right)^{l_i},\tag{1}$$

where  $TF^{i}(w_{j})$  is the term frequency of  $w_{j}$  in  $d_{i}$ ;  $IDF(w_{j})$  is the inverse document frequency of  $w_{j}$ ;  $F^{(pos/neg)}(w_{j})$  is the frequency of  $w_{j}$  occurring in the positive/negative corpus.

In this paper, the similarity between two words is measured by the cosine distance with the word vectors that are trained on Google News and publicly available [3].

Therefore, the matrix factorization based method that combines both the document-word relationship and the word-word relationship can be formulated as

$$\min_{U,V} \mathcal{J}(C, U, V) = \frac{1}{2} \sum_{i=1}^{m} \sum_{j=1}^{n} r_{ij} (c_{ij} - U_i^T V_j)^2 + \frac{\alpha}{2} \sum_{j=1}^{n} \|V_j - \sum_{k \in \mathcal{K}(j)} s_{jk} V_k\|_F^2 + \frac{\beta}{2} \|U\|_F^2 + \frac{\gamma}{2} \|V\|_F^2,$$
(2)

where U is a  $k \times m$  latent document feature matrix, V is a  $k \times n$  latent word feature matrix, k < min(m, n) and  $\alpha, \beta, \gamma > 0$ .  $\mathcal{K}(i)$  denotes the neighbors of  $w_i$ . Here, we consider two words with high similarity as neighbors.

Finally, the sentiment polarity of  $w_j$  can thus be derived as follows:

$$\omega_j = \frac{1}{|\mathcal{D}^{(+)}|} \sum_{i \in \mathcal{D}^{(+)}} c_{ij} - \frac{1}{|\mathcal{D}^{(-)}|} \sum_{i \in \mathcal{D}^{(-)}} c_{ij} \tag{3}$$

where  $\mathcal{D}^{(+)}$  and  $\mathcal{D}^{(-)}$  represent the positive and negative documents in the corpus, respectively;  $w_j$  is considered as a positive word, if  $\omega_j > 0$ , and a negative one, if  $\omega_j < 0$ .

## 3. EXPERIMENTAL VALIDATION

We carried out experiments on three publicly available datasets from different domains, namely, IMDB<sup>1</sup>, Movie reviews<sup>2</sup>, and DVD reviews<sup>3</sup> The opinion words for testing were obtained from MPQA<sup>4</sup>. We adopted five representative methods, SO-PMI, WEED, SVD, NMF and CONR, as the baselines. SO-PMI [4] is a typical seed word based method and thus we randomly selected 20% seed words for it from MPQA; WEED [5] is an optimization based method; SVD, NMF [1] and CONR [2] are all matrix factorization based.

Figure 1 presents the accuracy of all methods in extracting opinion words from the datasets of different domains. It can be seen that WVMF outperforms all baseline methods. Table 1 presents the accuracy of all methods in identifying the sentiment polarities of the top k opinion words. It is observed that the matrix factorization based methods including WEED, SVD and NMF consistently outperform the seed word based method SO-PMI. Particularly, the proposed WVMF method exhibits consistent better performance than the best state-of-the-arts method CONR.

 Table 1: The accuracy of different methods in identifying sentiment polarities of opinion words.

| Datasets | Methods | Top10  | Top20  | Top50  | Top100 | Top200 |
|----------|---------|--------|--------|--------|--------|--------|
| IMDB     | SO-PMI  | 0.5121 | 0.5533 | 0.5083 | 0.5187 | 0.5267 |
|          | WEED    | 0.8944 | 0.8613 | 0.8507 | 0.8288 | 0.7768 |
|          | SVD     | 0.8848 | 0.8361 | 0.8147 | 0.7904 | 0.7342 |
|          | NMF     | 0.8919 | 0.8704 | 0.8140 | 0.7950 | 0.7433 |
|          | CONR    | 0.9383 | 0.9171 | 0.8782 | 0.8466 | 0.7930 |
|          | WVMF    | 0.9633 | 0.9300 | 0.9017 | 0.8825 | 0.8450 |
| Moive    | SO-PMI  | 0.5121 | 0.5537 | 0.5289 | 0.5187 | 0.4879 |
|          | WEED    | 0.7448 | 0.6951 | 0.7083 | 0.6687 | 0.6475 |
|          | SVD     | 0.6341 | 0.6511 | 0.6085 | 0.5937 | 0.6375 |
|          | NMF     | 0.6814 | 0.5609 | 0.5833 | 0.5812 | 0.6113 |
|          | CONR    | 0.8333 | 0.7804 | 0.7625 | 0.7353 | 0.6694 |
|          | WVMF    | 0.8733 | 0.8650 | 0.8433 | 0.8100 | 0.7666 |
| DVD      | SO-PMI  | 0.5625 | 0.5238 | 0.4901 | 0.4455 | 0.4404 |
|          | WEED    | 0.8064 | 0.7380 | 0.7745 | 0.7326 | 0.6487 |
|          | SVD     | 0.8489 | 0.7727 | 0.7843 | 0.7178 | 0.6959 |
|          | NMF     | 0.8333 | 0.7857 | 0.7884 | 0.7128 | 0.6717 |
|          | CONR    | 0.9085 | 0.8809 | 0.7841 | 0.7623 | 0.7233 |
|          | WVMF    | 0.9254 | 0.8961 | 0.8430 | 0.8038 | 0.7625 |

# 4. CONCLUSION

This paper has presented a word vector and matrix factorization based method for opinion lexicon extraction. Experiments on real datasets have demonstrated that the proposed method performs better than the state-of-the-art methods.

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<sup>&</sup>lt;sup>1</sup>http://ai.stanfor.edu/amaas/data/sentiment/

<sup>&</sup>lt;sup>2</sup>http://www.cs.cornell.edu/people/pabo/movie-review-data/

<sup>&</sup>lt;sup>3</sup>http://www.datatang.com/data/44115/

<sup>&</sup>lt;sup>4</sup>http://mpqa.cs.pitt.edu/